# Field Case Study Write-Up Framework

**Case summary (1-2 pages)**

This will be the summary of the case/project which should include:

* Historical description of the case
* Location and period of the specific projects studied
* Primary stakeholders, stated beneficiaries, and the area of education of the projects
* Funding structure and amounts
* Simple explanation of stated goals of the case/projects and how the actors planned to achieve it.
* Progress towards project results or achieved results

**Case Analysis (12-13 pages)**

The rest of the case write-up needs conduct an analysis of the various elements of the mechanism design and implementation from the perspective of all the stakeholders involved. It should identify similarities and divergence in perspective on the various elements of the case. Table 1. Represents a matrix you can use to conduct data analysis using the interview and document data.

**Table 1. Stakeholder perspective matrix.**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
|  | **Stakeholder Group (e.g. funder, implementing partner, beneficiaries etc.)** | | | | |
| **Mechanism component** | **Group 1** | **Group 2** | **Group 3** | **Group 4** | **Group 5** |
| Goal/Outcome |  |  |  |  |  |
| Alternative funding/financing options |  |  |  |  |  |
| Primary rationale for choosing the IF mechanism |  |  |  |  |  |
| Perceived benefits of the IF mechanism |  |  |  |  |  |
| Design challenges |  |  |  |  |  |
| Implementation challenges |  |  |  |  |  |
| How were the challenges addressed/solved |  |  |  |  |  |
| Evaluation/Measuring success |  |  |  |  |  |
| Lessons Learned |  |  |  |  |  |

**Stakeholder Analysis**

* Who are the primary stakeholders in the case?
* What was the role of these actors and why were they important to engage in the project?

**Context Analysis**

* What education problem was this case attempting to solve?
* What goals/outcomes were set out for this project and the use of the IF mechanism?

**Mechanism Analysis**

**Structure**

* What was the structure of the financing mechanism?
* What alternative funding or financing options were potentially available to the stakeholders?
* What was innovative in the financing approach? How was this IF mechanism/approach different from the alternatives? (see IF categorisation note below)

**Rationale/Benefits**

* Why was this mechanism chosen over others?
* What were the perceived benefits of utilising this mechanism over traditional funding and financing mechanisms?

**Challenges**

* What challenges were experienced in design and implementation
  + What were the challenges in designing the mechanism?
  + What were the challenges in implementing the mechanisms? Which ones were foreseen during the design phase and which were unexpected and came about only once implementation started?
  + How were the challenges addressed/solved?

**Evaluation**

* What measures/methods were used to evaluate success?
  + What methodology was used for project evaluation? Was there anything unique designed to evaluate the use of the IF mechanism itself?
  + What was the process with the actors and partners to agree on measurements and evaluation methodology?
  + What expected outcomes/results were achieved in the project?
  + What were the unexpected outcomes/results?

### Lessons Learned

* What were the most important lessons learned by each of the actors?
* What aspects of the project would the actors change when designing a new project and why?
* What aspects do the actors believe worked well in the project and why?

**Conclusion (1-2 pages)**

**Bibliography**

List your references and sources, preferably in APA style of references

**Appendix (needs to be comprehensive, but keep it to less than 100 pages)**

Any additional project data or documents both from the project website but especially material that you received, such as:

* Project annual reports, organizational charts, statistics on users, budgets, etc.
* Contracts, Memorandums of Understanding between the various stakeholders (e.g., between funder and implementer), reporting requirements, etc.
* Project evaluations and indicator frameworks, if available
* National literature review (developed in the NORRAG internship)
* National media analysis (developed in the NORRAG internship)

**Background Information for the Team**

When writing up the case study, please keep the following in mind:

**Note 1. Innovative Financing Mechanism Categorisation**

Keeping the primary purpose of innovative financing in mind, i.e. mobilisation of additional resources and improving the use of existing resources, the innovative component of a financing modality can have one or more of the following characteristics of innovation supporting development ([Guarnaschelli, Lampert, Marsh & Johnson, 2014](https://www.citigroup.com/citi/foundation/pdf/innovative_financing_for_development.pdf)) :

**A) What is innovative?**

1. **New product/instrument**: Development of new approaches, as in creating a new financial instrument, to solve for established development challenges.
2. **New market:** Using existing proven approaches in new context, like a new sector or new country/region. For example, introducing public lottery in a country where it does not exist.
3. **New participants:** Attract new actors to development financing, such as the engagement of the private sector or new global donors like China, India, UAE, etc.

**B) How does it support development?**

1. **Mobilize resources -** Mobilising additional resources that were previously not available for development, for example a mechanism like [Product(Red)](https://www.red.org/) that combines commercial and philanthropic objectives and channels financing to development projects.
2. **Financial intermediations-** Creating efficiencies in financial investment by distributing the risk across many actors through financial intermediaries. The intermediation includes the institutional capacity building to reduce transaction costs (ex. pooled funds) and to reduce or share the financial and delivery risk (ex. insurance instruments).
3. **Delivery of resources –** Improving the effectiveness of the delivery of resources or programming. This can include methods to improve performance metrics, transparency, creating or aligning performance incentives, and coordination of activites by different actors.

Table below shows the features of some of the mechanisms that have been used in the education sector:

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
|  | What is Innovative? | | | How does it support development? | | | |
| Mechanism | New Product | New Market | New Participants | | Mobilise Resources | Financial Intermediation | Deliver Resources |
| Bonds |  | X | X | | X | X |  |
| Guarantees |  | X | X | |  | X |  |
| Loans |  | X | X | | X |  |  |
| Impact Investment |  | X | X | | X |  | X |
| Advance Market Commitments | X | X |  | |  | X |  |
| Impact Bonds |  |  |  | |  |  | X |
| Debt-Swap and buy-Downs | X |  |  | | X |  |  |
| Income Share Agreement | X |  |  | | X | X |  |